United States Court of Federal Claims

Revised April 2018

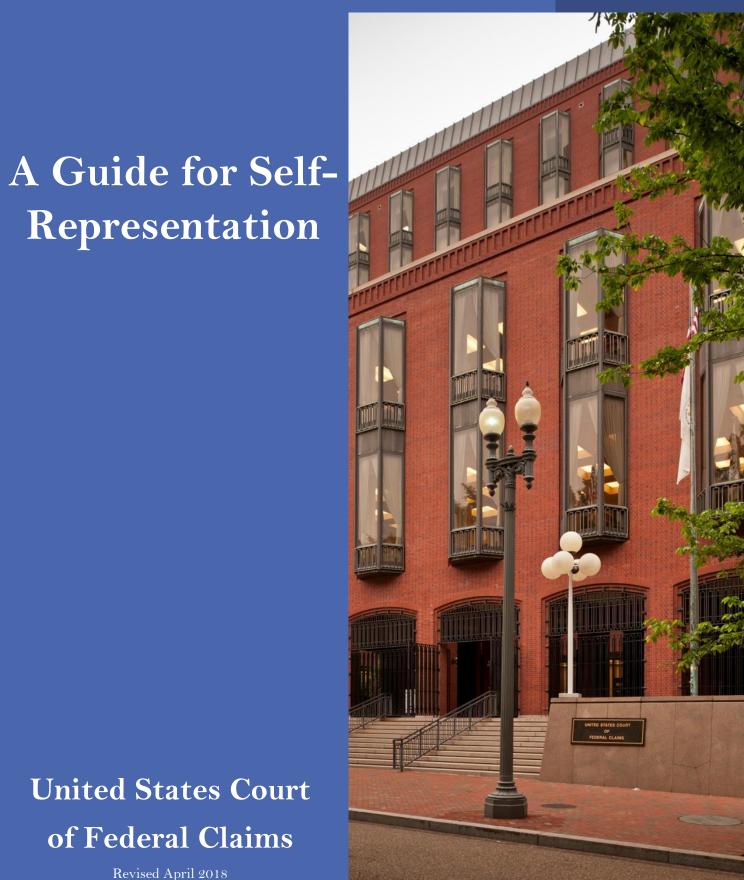


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Introductory Comments by the Clerk of Court

Welcome to the United States Court of Federal Claims.

Representing yourself in a lawsuit can be complicated, time consuming, and costly. This guide is intended to assist *pro se* plaintiffs in filing their cases and in understanding the rules and procedures for the U. S. Court of Federal Claims. Please note, however, this guide is not intended to be used as a surrogate for the court's rules. Failure to comply with court rules and procedures can mean losing your case. We encourage you not only to read this guide but also to visit the court's website at <u>www.uscfc.uscourts.gov</u> for additional information and resources.

After reading this guide, if you still have questions about filing a case in this court, please contact the clerk's office at (202) 357-6406. Keep in mind that clerk's office staff can help you with court procedures, but they cannot give you any legal advice. For example, they cannot help you decide whether to file a case in this court or, once filed, what to do in your case. They also cannot explain a law or advise you on what words to use in your court documents.

We welcome any comments or suggestions for improving this guide. Please forward your comments to: Office of the Clerk of Court, U. S. Court of Federal Claims, 717 Madison Place, NW, Washington, DC 20439.

Lisa L. Reyes

Lisa L. Reyes Clerk of Court

Section 1

Before You File Your Case

The U. S. Court of Federal Claims has limited, nationwide jurisdiction and hears monetary claims against the United States Government. It is not a district court; the court has its own separate jurisdiction, rules, and procedures. Before you file your case in the U. S. Court of Federal Claims, you should ask yourself the following questions:

Are you in the right court?

You should make sure that you are filing your case with the correct court. If the court lacks jurisdiction over your claim, your claim will be dismissed. This can be especially important if your claim has a "statute of limitations." Filing in the wrong court might affect whether or not your claim is filed prior to the deadline for doing so.

- Types of cases filed in this court include:
 - Federal tax disputes
 - Taking of private property
 - Military and civilian pay
 - Breaches of contracts involving the federal government
 - Patent and copyright infringement by the federal government
 - Bid protests
 - Vaccine injuries¹ (cases filed under the <u>National Vaccine Injury Compensation Program</u>)

Is your complaint timely?

A statute of limitations is the period of time set by law within which a claim must be filed. This period of time ordinarily begins when the injury occurs or when a right has been violated. If you fail to file your complaint within the time allotted by the statute, your case will be dismissed.

NOTE: The clerk's office is unable to answer specific questions about your statute of limitations. It is your responsibility to keep track of and meet your deadlines.

¹ The information in this guide covers general jurisdiction complaints filed against the United States in the U.S. Court of Federal Claims. If you wish to obtain information about vaccine claims in this court, you should contact the clerk's office and request a copy of the <u>Guidelines for Practice Under the National Vaccine Injury</u> <u>Compensation Program</u>.

Additional information for prisoner-plaintiffs to consider:

- A prisoner-plaintiff wishing to proceed without prepayment of the required filing fees may file an Application to Proceed *In Forma Pauperis* (IFP).
 - Along with the IFP application, a prisoner must also submit a certified copy of their trust fund account statement for the 6-month period immediately preceding the filing of the complaint as well as a signed copy of the court's Prisoner Authorization form *(see* A10).
 - Failure to provide this information may result in the dismissal of your case.
- Upon approval of your IFP application, a prisoner-plaintiff must pay an "initial partial filing fee" and subsequent partial payments thereafter until the filing fee is paid in full pursuant to 28 U.S.C. § 1915(b).

PLEASE NOTE: All prisoner-plaintiffs are obligated to pay the filing fee *even if* their complaint is ultimately dismissed for lack of subject-matter jurisdiction. Therefore, it is important to review this court's jurisdictional requirements prior to filing a complaint.

Three Strikes Rule: Prisoner-plaintiffs who, while incarcerated, have filed three or more complaints in federal court that were dismissed for frivolousness, maliciousness, or failure to state a claim cannot proceed *in forma pauperis* and must prepay the required filing fee, unless the prisoner-plaintiff meets a statutory exception under 28 U.S.C. § 1915(g).

Section 2

Start to Finish: The Civil Case Process

How to File Your Complaint

Fig. 1 is an image of the model *pro se* complaint form that is provided in the appendix of this guide (*see* A5-A7). If you require additional space to answer a particular question, please finish your response on additional paper, making sure that each answer is properly numbered.

-OR-

If you do not wish to use the provided *pro se* complaint form, please use the following information to help you draft your complaint.

Step 1-Format Your Complaint

Complaints may be typed or handwritten. If handwritten, the writing **must be legible**. Use *Fig. 1* as a model to ensure compliance with the format requirements of the U. S. Court of Federal Claims.

	Fig. 1
In the United Sta	ntes Court of Federal Claims
Plaintiff(s),) Case No.
v.) Judge
THE UNITED STATES,)
Defendant.)
9	COMPLAINT
of perjury that the facts are correct. If you r	n or typewritten, and you must sign and declare under penal seed additional space, you may use another blank page. yment of filing fees (or <i>forma pauperic</i> (IEP)), pursuant to ? ar complaint an application to proceed IFP.
to the Guide for Self-Representation if y	or filing this case in the Court of Federal Claims. (Please ref row are unclear about the kinds of cases heard in this court. Th as jurisdiction to hear only those types of cases specified in 2 505, 1507.)

- **Court Title:** "The United States Court of Federal Claims" must be written at the top of your complaint, as well as on all future filings.
- **Case Number & Judge:** Leave this area blank. Once your case has been opened, the court will inform you of your case number and judge assignment by mail. <u>You must include the case number and judge's name on all future filings.</u>
- **Title of Document:** You must indicate what you are filing by including a title at the beginning of the document.

Step 2-Draft Your Complaint

Your complaint should be a summary of why you are filing your case in the U. S. Court of Federal Claims, why this court has legal jurisdiction over your case, the statement of facts, and the relief that you are requesting. The following topics should be covered in your complaint:

• **Jurisdiction:** Explain why the U. S. Court of Federal Claims has jurisdiction over your case.

- **Parties:** Identify the parties in your case.
 - <u>REMEMBER</u>: In this court, the defendant is always the United States, which includes ALL federal agencies.
- Statement of Facts: Explain the relevant facts of your case.
- **Claims**: List your legal claims.
 - This is the section in which you allege that the defendant violated laws or legal obligations that entitle you to monetary relief. You must identify the source of that law or legal obligation.
- **Request for Relief:** Explain what you would like the court to do.
- **Exhibits**: If you choose to include exhibits, they should be numbered in sequential order.

Step 3-Submit Your Complaint

Once you have drafted your complaint, you must submit the documents to the clerk's office either by mail or in person. *Fig. 2* provides a quick description of the required forms, as well as the number of copies you will need to provide of each. An initial filing checklist can also be found on page 9.

If you wish to file your complaint by hand, deliver your documents to the clerk's office in Room 103 of the Howard T. Markey National Courts Building at 717 Madison Place, NW, which is across from the White House on the east side of Lafayette Park. If you prefer to submit your complaint (and other documents) by mail, all documents should be mailed to:

> Clerk of Court U.S. Court of Federal Claims 717 Madison Place, NW Washington, DC 20439

Along with your complaint and required copies, you must submit a Civil Cover Sheet. This form is available on the court's website at <u>www.uscfc.uscourts.gov</u> as well as in the appendix of this guide (*see* A3).

Fig. 2

Forms To Submit With Your Complaint

All of these forms are included in the appendix of this guide as well as on the court's website.

Complaint: 1 original + 2 copies

Cover Sheet: a form that asks you questions about the nature of your case.

(Required: 1 original) Required Filing Fee: (See Schedule of Fees on page 10)

- OR -

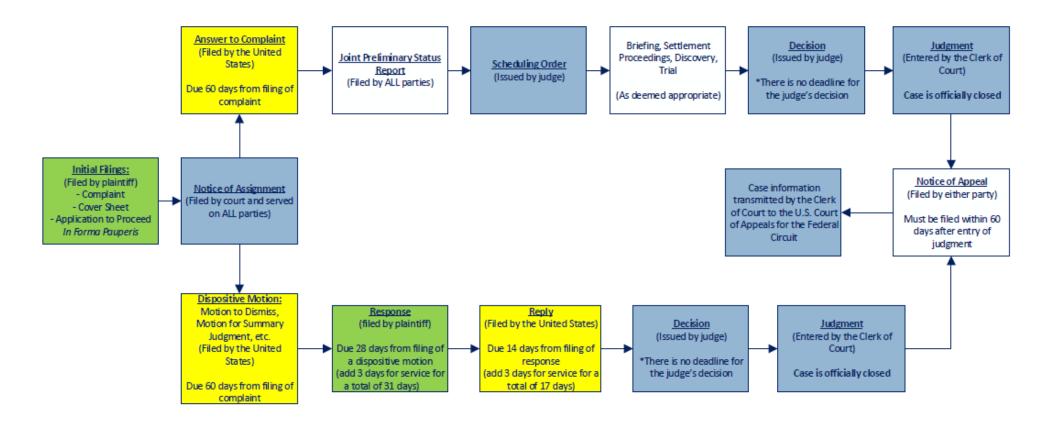
In Forma Pauperis Application: a request to waive prepayment of the filing fee. This form must be submitted with your complaint and is only for those who cannot afford the fee. You will answer questions about your income and employment status that will help the court make its decision to grant or deny the application.

(Required: 1 original + 2 copies)

<u>PLEASE NOTE</u>: Clerk's office staff cannot help you write your complaint or tell you how to complete the required forms.

When filing your complaint, you must also include either the filing fee *(see* Schedule of Fees on page 10) or an Application to Proceed *In Forma Pauperis* (IFP). This form is available on the court's website as well as in the appendix of this guide (*see* A8-A9). Only those who cannot afford the filing fee should apply for IFP status. If your IFP application is denied, you must promptly pay the filing fee or your case will be dismissed.

The Civil Case Process



Please note that this flowchart is a generic example of how your case may proceed. Individual cases may vary. Also note that the court may issue a decision at any time and deadlines may be extended or altered by court order.

Section 3

Clerk's Office: How Can We Help?

WE ARE HAPPY TO HELP YOU IF WE CAN. WE ARE NOT, HOWEVER, PERMITTED TO PROVIDE LEGAL ADVICE AND WE MUST PROVIDE THE SAME INFORMATION TO EVERYONE.

Below are some examples of things that clerk's office staff can and cannot do for you.

We can explain and answer questions about how the court works.	We cannot	tell you whether or not you should file a case in this court.
We can give you general information about court rules, procedures, and practices.	We cannot	give you an opinion about what will happen if you bring your case to court.
We can provide court schedules. We can provide you with information	We cannot	
about your particular case. We can provide you with available court forms and instructions.	We cannot	talk to the judge for you or let you talk to the judge outside of a court proceeding.
We can answer questions about publicly available court deadlines.	We cannot	tell you what to say in court.
	We cannot	provide you with legal advice.
	We cannot	tell you what you should do next in your case.
	We cannot	compute deadlines in your case.

Since clerk's office staff may not know the answers to all of your questions about court rules, procedures, and practices, and because we do not want to give you incorrect information, we have been instructed not to answer questions if we do not know the correct answers or if the information is not publicly available. For additional information, please contact a lawyer or your local law library, or visit the court's website at <u>www.uscfc.uscourts.gov</u>.

Section 4 Other Information

Certificate of Service Rule

All documents filed after the complaint MUST include a Certificate of Service stating that you "served" a copy of the document on the attorney representing the United States and on any other parties in the case (or on their attorney if they are represented by counsel) and the date the document was served. It is important that you include a Certificate of Service with every filing; failure to do so may hinder the filing of your documents. A fillable Certificate is included in the appendix of this guide (*see* A11). Documents filed do not need to be notarized or sent via certified mail.

Change of Address

If your address changes while you have a case before the court, you must file and serve a written notice of the change of address. If you do not notify the court and opposing counsel with a written notice, service of future filings will be made to your old address and may prevent you from receiving the documents and may cause you to miss filing deadlines. Your case may be dismissed if you fail to respond to court orders or meet deadlines for required filings.

Format of Documents

<u>All documents filed with the court should bear the court's name, correct civil docket</u> <u>number, and the name of the presiding judge to whom your case is assigned.</u> The case number and judge assignment will be provided to you by the court once your complaint has been filed. Your name, address, and telephone number are also required on all pleadings and papers.

All documents must be submitted for filing on standard $8\frac{1}{2}$ -by-11-inch white paper and comply with the format requirements of <u>Rule 5.5</u>. Submissions that do not conform to the court's rules, e.g., books, laminated documents, personal items, or unbound papers, as well as excessively voluminous documents, will be forwarded to an assigned judge for acceptance or rejection.

Protecting Personal Information

If you are filing a document that contains personal identifiers such as your social security number, it is VERY important that you either redact (black out) the specific information or clearly indicate that your document should be filed under seal. It is the sole responsibility of the filing party to protect personal information included in a filing; the clerk's office will not review filings to ensure that information has been adequately protected. See Rule 5.2(a) and (h).

Copies of Documents

You must file an original and 2 copies of all filings. If you would like the court to return to you a filed, date stamped copy of any document, you must provide an additional copy of

the document and a self-addressed, stamped envelope when you file the document with the court.

Notice of Appeal

If you wish to appeal a judge's final decision, you must submit a notice of appeal, which can be found in the appendix of this guide (*see* A12). The filing of the notice begins the appeal process and your case will be sent to the U.S. Court of Appeals for the Federal Circuit. Once your case is appealed, all future filings should be sent to the appellate court. If you did not receive a waiver for the initial filing fee, you will also need to provide a check made out to: Clerk, U.S. Court of Federal Claims (*see* page 10 for appropriate fee).

Operation of the Clerk's Office

- General Information:
 - The clerk's office is open for business between the hours of 8:30 a.m. and 4:30 p.m.,² Monday through Friday (except federal holidays). You may contact the clerk's office by telephone at (202) 357-6406 during normal business hours.
- Court's Address:
 - The United States Court of Federal Claims clerk's office is located in Room 103 of the Howard T. Markey National Courts Building, 717 Madison Place, NW Washington, DC 20439.

Where to Obtain our Local Rules

The United States Court of Federal Claims Rules can be obtained by calling the clerk's office at (202) 357-6406, visiting the court's website <u>www.cofc.uscourts.gov</u>, or by clicking on the following link: <u>U.S. Court of Federal Claims Current Rules</u>.

<u>Checklist</u>

INITIAL FILING CHECKLIST

- □ I have researched the court's jurisdiction and have determined that I am filing in the appropriate court.
- □ If I have a statute of limitations, I have submitted my complaint before the statute has run out.
- □ I have provided an original and two copies of my complaint.
- □ I have provided a completed Civil Cover Sheet with my current contact information.
- □ I have submitted the appropriate filing fee OR a completed Application to Proceed *In Forma Pauperis.*
- □ If I am a prisoner, I have also included the Prisoner Authorization form and a certified copy of my trust fund account statement for the last six (6) months.

² New court hours effective August 1, 2016.

U.S. Court of Federal Claims Schedule of Fees (effective 12/1/2016)

All fees are payable to the Clerk, U.S. Court of Federal Claims, by cash, check, or money order.

FILING FEES

Complaint/Petition	\$400.00 ³
Prisoner Complaint with IFP Motion	$$350.00^4$
Notice of Appeal	\$505.00
Filing or Indexing of Any Document in a Case for	\$47.00
Which a Filing Fee Has Not Been Paid	\$47.00
Petition to Perpetuate Testimony Under Rule 27(a)	\$47.00

COPY FEES		
Paper Documents	\$0.50/page	
Certification of Document or Paper (in addition to cost of copy)	\$11.00	
Exemplified Copy of Document or Paper	\$22.00	
Audio Recording of Court Proceeding	\$31.00/disk	

MISCELLANEOUS FEES

Payment Returned or Denied for Insufficient Funds	\$53.00	
Monthly Listing of Orders and Opinions	\$23.00	
Record Retrieval From Archives	\$64.00/first box; \$39.00/each additional box	
Electronic Record Retrieval (SmartScan)	\$19.90 plus \$0.65/page⁵	
Search of Court Records	\$31.00/name or item	

³ Beginning 5/1/2013, the \$400 filing fee includes a \$50 general administrative fee. Pursuant to 28 U.S.C. § 1915, the \$50 administrative fee is waived for prisoner-plaintiffs granted in forma pauperis (IFP) status and all filing fees are waived for non-prisoner plaintiffs granted IFP status.

⁴ All prisoners are required to pay the filing fee. If proceeding *in forma pauperis*, that fee is \$350. If the IFP motion is denied, the fee is \$400.

⁵ Effective June 1, 2016, this service includes a \$10 judiciary administrative fee and two additional Federal Record Center (FRC) fees: a \$9.90 flat rate fee to pull and refile the record(s) and a \$0.65 per page fee. The FRC fees are subject to change in accordance with the Inter-Agency Agreement between the Administrative Office of the United States Courts and the FRC.

Glossary of Terms

Affidavit: A sworn, written statement made under oath, affirming that all information is true.

Amendment: A formal revision made to a legal document.

Answer: A formal written statement made by the defendant which answers each allegation contained in the complaint and sets forth the defendant's defenses and counterclaims.

Clerk of Court: An officer appointed by the court to oversee the court's administration. The Clerk of Court has appointed deputy clerks who are available to provide case specific information.

Complaint: A written statement filed by the plaintiff that opens a case, identifies the plaintiff's claims, and states what relief the plaintiff is seeking.

Cross-motion: A motion in general is a request to the court to issue an order. A cross-motion is a request to the court to deny the first motion and instead grant an opposing one.

Defendant: The party against whom the complaint is made. In the U.S. Court of Federal Claims, the defendant is always the United States.

Dismissal: When a judge dismisses a case, the judge essentially ends the case without a trial. If the dismissal is "with prejudice," the plaintiff cannot refile it. If the dismissal is "without prejudice," the plaintiff may be able to refile or reopen the case with modifications.

Docket: The summary of activity in a certain case containing a list of the parties, their attorneys, and all filings.

In Forma Pauperis: Permission given by the court for an individual to file a case without prepayment of the required court fees because the person is unable to pay them. Often abbreviated as IFP.

Judgment: The final action by the court that is entered on the docket. The judgment officially closes the case.

Jurisdiction: The legal authority of a court to hear and decide a case.

Motion: A formal request to the court to issue an order. For example, a party may file a motion asking the court to extend the time for filing a response. The motion must include reasons for the request.

Notice of Appeal: A document filed that informs the court that a judgment or order is being appealed to the U.S. Court of Appeals for the Federal Circuit. The filing of a notice of appeal begins the appeal process and must be accompanied by a certificate of service.

Notice of Appearance: A document filed by an attorney at the U.S. Department of Justice identifying who will be representing the United States in a case. Once an appearance is filed, *pro se* litigants must serve all future filings on the attorney listed on the notice of appearance. **Opinion:** A written decision of a judge, setting forth the reasons for the decision and the facts and law on which the decision is based.

Plaintiff: The person who files the complaint in a civil lawsuit.

Pro Se: A term that refers to an individual who presents their own case without an attorney.

Statute of Limitations: A law that sets a time period within which a complaint must be filed.

Stay: A court order that temporarily suspends court proceedings or the effect of a judgment.

Summary Judgment Motion: A motion requesting the court to rely on facts that are not in dispute to rule in one party's favor without a trial.

Transcript: A written record of everything that was said during a court proceeding, such as a hearing or trial. The transcript is prepared by a court reporter and filed on the case docket.

Cover Sheet

Plaintiff(s) or Petitioner(s)		
Names:		
Location of Plaintiff(s)/Petitioner(s) (city/state):		
(If this is a multi-plaintiff case, pursuant to RCFC 20(a), please use a separat	te sheet to list additional plaintiffs.)	
Name of the attorney of record (See RCFC 83.1(c)): Firm Name:		
Firm Name:Contact information for pro se plaintiff/petitioner or att		
Post Office Box:	oney of record.	
Street Address		
City State 7ID.		
Telephone & Facsimile Numbers:		
E mail Addresses		
Is the attorney of record admitted to the Court of Feder Nature of Suit Code: Select only one (three digit) nature-of-suit code from the	Agency Identification Code:	_
attached sheet.	Number of Claims Involved:	
Amount Claimed: \$Use estimate if specific amount is not pleaded.		
Bid Protest Case (required for NOS 138 and 140): Indicate approximate dollar amount of procurement at	issue: \$	
Is plaintiff a small business?	□ Yes □ No	
Was this action preceded by the filing of a protest before the GAO?	□ Yes □ No	
If yes, was a decision on the merits rendered?	□ Yes □ No	
Income Tax (Partnership) Case: Identify partnership or partnership group:		
Takings Case: Specify Location of Property (city/state):		
Vaccine Case: Date of Vaccination:		
Related Case: Is this case directly related to any pending or previously case(s) in the United States Court of Federal Claims? If required to file a separate notice of directly related case(s). See RCFC 40.2.		

Nature-of-Suit Codes for General Jurisdiction Cases

- 100 Contract Construction (CDA) 102 Contract - Fail to Award - (CDA) 104 Contract - Lease - (CDA) 106 Contract - Maintenance - (CDA) 108 Contract - Renovation - (CDA) 110 Contract - Repair - (CDA) 112 Contract - Sale - (CDA) 114 Contract - Service - (CDA) 116 Contract - Supply - (CDA) 118 Contract - Other - (CDA) 120 Contract - Bailment 122 Contract - Bid Preparation Costs 124 Contract - Medicare Act 125 Contract - Affordable Care Act 126 Contract - Realty Sale 128 Contract - Subsidy 130 Contract - Surety 132 Contract - Timber Sale 134 Contract - Other 136 Contract - Other - Wunderlich 138 Contract - Protest (Pre Award) 140 Contract - Protest (Post Award) 200 Tax - Allowance of Interest
- 202 Tax Declaratory Judgment 28:1507 204 Tax - Estate
- 206 Tax Excise 208 Tax - Gift 210 Tax - Income, Corporate 212 Tax - Income, Individual 213 Tax - Income, Individual (Partnership) 214 Tax - Informer's Fees 216 Tax - Preparer's Penalty 218 Tax - Railroad Retirement/Unemployment Tax Act 220 Tax - TEFRA Partnership - 28:1508 222 Tax - WindfallProfit Overpayment - Interest 224 Tax - 100% Penalty - 26:6672 -Withholding 226 Tax - Other 300 Civilian Pay - Back Pay 302 Civilian Pay - COLA 303 Civilian Pay - Disability Annuity 304 Civilian Pay - FLSA 306 Civilian Pay - Overtime Compensation 308 Civilian Pay - Relocation Expenses 310 Civilian Pay - Suggestion Award 312 Civilian Pay - Other
- 340 Military Pay Back Pay 342 Military Pay - CHAMPUS
- 344 Military Pay Correct records
 - 346 Military Pay Correct/Reinstate

AGENCY CODES

AGR	Agriculture	GSA	General Services Administration
AF	Air Force		
ARM	Army	HHS	Health and Human Services
AEC	Atomic Energy Commission	HLS	Homeland Security
сом	Department of Commerce	HUD	Housing and Urban Development
DOD	Department of Defense	DOI	Department of the Interior
DOE	Department of Energy	ICC	Interstate Commerce
ED	Department of		Commission
	Education	DOJ	Department of Justice
EPA	Environmental Protection Agency	LAB	Department of Labor
CRO	2 1	MC	Marine Corps
GPO	Government Printing Office	0	Other

- 348 Military Pay Reinstatement
- 350 Military Pay Relocation Expenses
- 352 Military Pay Retirement
- 354 Military Pay SBP
- 356 Military Pay Other
- 500 Carrier transportation
- 502 Copyright
- 504 Native American
- 506 Oil Spill Clean Up
- 508 Patent
- 509 Taking Hurricane Harvey
- 510 Taking-Personalty
- 512 Taking-Realty
- 513 Taking Rails to Trails
- 514 Taking-Other
- 515 Unjust Conviction and Imprisonment
- 516 Miscellaneous Damages
- 518 Miscellaneous-Lease
- 520 Miscellaneous Mineral Leasing Act
- 522 Miscellaneous Oyster Growers Damages
- 524 Miscellaneous Safety Off. Ben. Act
- 526 Miscellaneous Royalty/Penalty Gas
- Production
- 528 Miscellaneous-Other
- 535 Informer's Reward
- 536 SpentNuclearFuel

NAS	National Aeronautical Space Agency
NAV	Navy
NRC	Nuclear Regulatory Commission
PS	Postal Service
STA	State Department
SBA	Small Business Administration
TRN	Department of Transportation
TRE	Department of Treasury
VA	Department of Veterans Affairs
VAR	Various Agencies

)))
Plaintiff(s),)) Case No
v.) Judge
THE UNITED STATES,))
Defendant.)

COMPLAINT

Your complaint must be clearly handwritten or typewritten, and you must sign and declare under penalty of perjury that the facts are correct. If you need additional space, you may use another blank page.

If you intend to proceed without the prepayment of filing fees (*in forma pauperis* (IFP)), pursuant to 28 U.S.C. § 1915, you must file along with your complaint an application to proceed IFP.

1. JURISDICTION. State the grounds for filing this case in the United States Court of Federal Claims. The United States Court of Federal Claims has limited jurisdiction (*see e.g.*, 28 U.S.C. §§ 1491-1509).

2. PARTIES

Plaintiff,, resides at		
	(Street Address)	
(City, State, ZIP Code)	,(Telephone Number)	
If more than one plaintiff, provide the same	nformation for each plaintiff below.	

RELATED CASES. Is this case directly related to any pending or previously filed cases in the United States Court of Federal Claims? \Box Yes \Box No

If yes, please list the case(s) below, including case number(s):

3. STATEMENT OF THE CLAIM. State as briefly as possible the facts of your case. Describe how the United States is involved. You must state exactly what the United States did, or failed to do, that has caused you to initiate this legal action. Be as specific as possible and use additional paper as necessary.

4. **RELIEF.** Briefly state exactly what you want the court to do for you.

I declare under penalty	of perjury that the foregoin	g is true and correct.	
Signed this(day)	_day of (month)	, (year)	

Signature of Plaintiff(s)

)

Plaintiff(s),

v.

THE UNITED STATES,

Case No.

Defendant.

Judge				

Application to Proceed In Forma Pauperis

I,______, declare that the following is true and correct; that I am the plaintiff in the above entitled case; that in support of my application to proceed without being required to prepay fees, costs, or give security thereof, I state that because of my poverty, I am unable to pay the costs of said proceedings or to give security thereof, and that I am entitled to relief pursuant to 28 U.S.C. § 1915.

1. Are you incarcerated? \Box Yes \Box No (If the answer is no, go to question 2.)

I am being held at:

Do you receive any payment from this institution?	□ Yes	\square No
Monthly amount:		

- I have attached a certified copy of my trust fund account statement (or institutional equivalent) for the six (6)-month period immediately preceding the filing of this complaint, as required by 28 U.S.C. § 1915(a)(2).
- □ I have attached a "Prisoner Authorization form" authorizing the Facility where I am incarcerated to deduct the filing fee from my account in installments and to send to the court certified copies of my account statements for the past six (6) months.
- 2. Are you currently employed? \Box Yes \Box No
 - a. If the answer is yes, give the name and address of your employer and state the amount of your salary or wages per month (both gross and net).

b. If the answer is no, state the date of last employment and the amount of your salary or wages per month (both gross and net).

3.	3. <i>Within the past twelve (12) months</i> , have you received any money from the following sources?			
	a.	Business, profession, or other form of self-employment?	🗆 Yes 🗆 No	
	b.	Rent payments, interest, or dividends?	🗆 Yes 🗆 No	
	c.	Pensions, annuities, or life insurance payments?	🗆 Yes 🗆 No	
	d.	Gifts or inheritances?	🗆 Yes 🗆 No	
	e.	Any other sources?	🗆 Yes 🗆 No	

If the answer to any of the above is yes, describe each source of money and the amount received in the last twelve (12) months, and what you expect to receive in the future.

If the answer is no to all of the questions above, explain how you are paying your expenses.

- 4. How much money do you have in cash or in a checking, savings, or inmate account?
- 5. Do you own any automobiles, real estate, stocks, bonds, securities, trusts, jewelry, art work, or other financial instruments or items of value, including any items of value held in someone else's name? If so, describe each property and its approximate value.
- 6. Do you have any housing, transportation, utilities, or loan payments, or other regular monthly expenses? If so, describe and provide the amount of each monthly expense.
- 7. List any persons dependent upon you for support, your relationship to those persons, and how much you contribute toward their support.
- 8. Do you have any debts or financial obligations not described above? If so, describe the amounts owed and to whom they are payable.

Affidavit: I declare under penalty of perjury that the foregoing is true and correct and that a false statement may result in dismissal of my complaint.

(Date)

(Signature of Applicant)

Prison Identification # (if incarcerated)

Print Name (Last, First, MI)

)))	
Plaintiff,)) Case No)	
v.	Judge	
THE UNITED STATES,))	
Defendant.)	

Prisoner Authorization

By signing below, I acknowledge that:

- 1. because I filed this action as a prisoner,¹ I am required by statute (28 U.S.C. § 1915(b)(1)) to pay the full filing fees for this case, even if I am granted the right to proceed *in forma pauperis* (IFP), that is, without prepayment of fees;
- 2. if I am granted IFP status, the \$350 filing fee will be deducted from my prison account, even if my case is dismissed or I voluntarily withdraw it.

I authorize the agency holding me in custody to calculate the amounts specified by 28 U.S.C. § 1915(b), deduct those amounts from my prison trust fund, and disburse those amounts to the court.

This authorization applies to any agency into whose custody I may be transferred and to any other court to which my case may be transferred.

(Date)

Prison Identification #

(Signature of Applicant)

Print Name (Last, First, MI)

Address

City

State

ZIP Code

¹ A prisoner is "any person incarcerated or detained in any facility who is accused of, convicted of, sentenced for, or adjudicated delinquent for, violations of criminal law or the terms and conditions of parole, probation, pretrial release, or diversionary program." 28 U.S.C. § 1915(h).

Plaintiff(s), v. THE UNITED STATES, Defendant.)))))))))))))
	ATE OF SERVICE
	,, a copy of
was mailed via	, to
at	
	(Signature of Applicant)

(Printed Name)

(Street Address)

(City, State, ZIP Code)

(Phone Number)

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Plaintiff(s),

Case No. _____

Judge _____

v.

THE UNITED STATES,

Defendant.

NOTICE OF APPEAL

_____ in the

above named case hereby appeal to the United States Court of Appeals for the Federal Circuit from

the ____

entered in this action on (describe document being appealed; e.g. final judgment, order)

(filed date of document being appealed)

(Signature of Appellant or Attorney)

(Printed Name)

(Street Address)

(City, State, ZIP Code)

(Phone Number)

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